

Goldman Sachs & Co. LLC - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Jun 25 2026 20:44:19 GMT-0500 (Central Daylight Time)

1st Quarter, 2026

January 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.02	1.42	31.08	62.41	5.06

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
New York Stock Exchange LLC. (XNYS)	38.02	66.66	3.26	55.90	22.95	-23.18	-2.8000	-738.75	-21.5700	1,489.33	13.3800	-2.21	-0.1500
The Nasdaq Stock Market LLC. (XNAS)	23.23	33.33	7.66	30.11	31.21	-4.58	-2.7000	-1,872.03	-28.8900	10,353.90	31.3600	-67.68	-10.0200
GSCO (GSCO)	21.58	0.00	68.85	0.01	3.29	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	7.44	0.00	0.43	11.70	0.15	0.00	0.0000	-71.89	-30.0000	-1.81	-30.0000	-1.14	-30.0000
UBSA (UBSA)	4.76	0.00	7.77	1.87	23.20	0.00	0.0000	-169.69	-10.0000	-67.21	-10.0000	-14.92	-10.0000
CBOE BZX Exchange (BATS)	2.00	0.00	4.41	0.12	10.86	0.00	0.0000	-958.42	-30.0000	-9.78	-30.0000	-44.64	-30.0000
NYSE Arca, Inc. (ARCX)	0.79	0.00	2.01	0.04	2.82	0.00	0.0000	-629.46	-29.9900	-10.36	-30.0000	-24.67	-30.0000
CBOE EDGX Exchange (EDGX)	0.47	0.00	1.22	0.04	1.29	0.00	0.0000	-531.09	-29.9900	-7.07	-30.0000	-15.51	-30.0000
Investors Exchange LLC. (IEXG)	0.41	0.00	1.09	0.04	0.83	0.00	0.0000	-150.43	-11.6400	-4.65	-7.4000	-20.35	-16.9500
Members Exchange (MEMX)	0.30	0.00	0.78	0.02	0.93	0.00	0.0000	-324.06	-29.3700	-2.57	-29.6800	-6.75	-29.3400

Material Aspects:

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

GSCO (GSCO):
Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm’s account, GSCO may realize a profit or incur a loss.

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

UBSA (UBSA):
There are no facts or arrangements requiring disclosure under SEC Rule 606(a)(1)(iv).

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees>

Information reflecting IEXG’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
<https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/>

Information reflecting MEMX’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

January 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.01	1.35	32.92	60.43	5.27

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc. (ARCX)	34.65	41.42	3.30	53.05	17.80	-137.50	-15.0000	-2,294.04	-27.4800	650.05	19.5300	-175.84	-7.7400
The Nasdaq Stock Market LLC. (XNAS)	14.79	30.00	10.76	16.15	20.46	-20.37	-2.9600	-4,973.98	-28.2200	5,465.28	31.5200	-391.56	-19.4700
New York Stock Exchange LLC. (XNYS)	13.76	25.00	1.79	20.27	10.97	-6.46	-2.8000	-1,242.78	-15.9600	2,448.50	14.1300	-77.60	-14.7400
GSCO (GSCO)	12.42	0.00	37.52	0.01	1.15	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX Exchange (BATS)	8.36	3.57	19.42	1.54	18.72	-24.95	-13.0000	-5,317.57	-29.8500	89.89	8.7900	-437.14	-26.3000
UBSA (UBSA)	7.45	0.00	16.50	1.58	20.07	0.00	0.0000	-468.22	-10.0000	-68.24	-10.0000	-37.01	-10.0000
NASDAQ OMX PSX (XPSX)	4.32	0.00	0.29	6.98	0.23	0.00	0.0000	-179.90	-29.9500	-3.20	-29.9900	-4.20	-30.0000
CBOE BYX Exchange (BATY)	1.87	0.00	4.85	0.02	4.92	0.00	0.0000	31.18	1.3100	0.34	1.6500	5.68	1.7100
CBOE EDGX Exchange (EDGX)	0.62	0.00	1.57	0.03	1.54	0.00	0.0000	-1,601.92	-29.8000	-23.21	-30.0000	-87.31	-30.0000
Members Exchange (MEMX)	0.42	0.00	1.05	0.02	1.17	0.00	0.0000	-1,072.52	-29.4000	-13.81	-29.1300	-101.32	-29.8600

Material Aspects:

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

GSCO (GSCO):
Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

UBSA (UBSA):
There are no facts or arrangements requiring disclosure under SEC Rule 606(a)(1)(iv).

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/>

Information reflecting MEMX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202601.xlsx

January 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
3.99	0.00	5.13	91.45	3.39

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Members Exchange (MXOP)	54.70	0.00	6.05	59.45	0.51	0.00	0.0000	-34,209.45	-14.7000	198,944.71	51.2300	3,613.34	41.8500
NYSE Arca, Inc. (ARCO)	23.69	11.28	2.99	25.54	5.05	-6,401.63	-36.1800	-32,041.73	-34.2900	195,984.20	36.3000	-37,669.70	-17.4000
CBOE Options Exchange (XCBO)	4.63	13.97	52.44	1.64	12.55	-473.97	-6.8700	-172,236.84	-51.2900	-19,334.26	-18.6800	-22,988.67	-18.0900
CBOE C2 Options Exchange, Inc. (C2OX)	4.42	0.00	0.77	4.79	0.02	0.00	0.0000	-52,846.78	-45.6000	43,885.58	29.5000	17.36	1.7000
MIAX PEARL LLC (MPRL)	4.01	0.00	1.24	4.32	0.01	0.00	0.0000	-35,089.69	-46.9100	10,424.30	11.8000	-330.00	-22.5700
BOX Options Exchange (XBOX)	2.05	22.65	2.09	0.21	51.70	2,562.00	43.1300	2,191.62	1.8400	1,826.48	6.3900	44,808.75	49.1300
CBOE BZX Options Exchange (BATO)	1.30	0.00	4.53	1.17	0.07	0.00	0.0000	-42,856.45	-48.5900	6,138.31	6.7400	182.61	5.6400
NASDAQ Options Market LLC (XNDQ)	0.90	0.00	7.48	0.56	0.01	0.00	0.0000	-96,679.65	-50.3500	-9,526.88	-21.4600	-788.86	-47.0600
Nasdaq ISE, LLC. (XISX)	0.53	12.05	1.86	0.21	6.84	601.75	7.8900	-43,501.97	-43.4700	2,125.88	5.0600	7,572.13	8.2700
NASDAQ BX Options (XBXO)	0.51	0.00	2.08	0.44	0.02	0.00	0.0000	-41,215.79	-36.9000	3,731.25	20.6400	-162.52	-13.0800

Material Aspects:

Members Exchange (MXOP):

An investment entity affiliate of GS&Co. has a minority, non-controlling ownership interest in MXOP. We also participate in a MXOP program whereby the affiliate can earn warrants, which provide the right, but not the obligation, to purchase additional equity in MXOP contingent upon GS&Co. achieving certain monthly executed contract thresholds through the end of April 2026.

MXOP has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/>

Information reflecting the MXOP's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

NYSE Arca, Inc. (ARCO):

ARCO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Information reflecting the ARCO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

CBOE Options Exchange (XCBO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

XCBO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://markets.cboe.com/us/options/membership/fee_schedule/xcbo/

Information reflecting the XCBO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

CBOE C2 Options Exchange, Inc. (C2OX):

C2OX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

Information reflecting the C2OX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

MIAX PEARL LLC (MPRL):

MPRL has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://www.miaxglobal.com/markets/us-options/pearl-options/fees>

Information reflecting the MPRL's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

BOX Options Exchange (XBOX):

XBOX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://boxoptions.com/regulatory/fee-schedule/>

Information reflecting the XBOX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

CBOE BZX Options Exchange (BATO):

BATO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/bzx/

Information reflecting the BATO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

NASDAQ Options Market LLC (XNDQ):

NDOE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-options-7>

Information reflecting the NDOE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

Nasdaq ISE, LLC. (XISX):

XISX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

Information reflecting the XISX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

NASDAQ BX Options (NBXO):

NBXO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/bx/rules/bx-options-7>

Information reflecting the NBXO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202601.xlsx

February 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.02	1.32	29.77	65.96	2.93

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
New York Stock Exchange LLC. (XNYS)	44.41	58.75	2.50	64.20	18.29	-5.84	-2.7500	-709.58	-25.3000	1,998.24	14.4900	-17.89	-5.0600
GSCO (GSCO)	20.94	0.00	69.87	0.01	4.31	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
The Nasdaq Stock Market LLC. (XNAS)	19.75	41.24	7.76	24.20	31.53	-30.21	-3.1900	-1,635.69	-27.8500	1,685.34	29.5000	-175.67	-10.3600
NASDAQ OMX PSX (XPSX)	6.44	0.00	0.43	9.56	0.17	0.00	0.0000	-82.65	-29.9900	-1.23	-30.0000	-2.99	-29.9900
UBSA (UBSA)	4.07	0.00	7.75	1.60	24.01	0.00	0.0000	-185.26	-9.9900	-59.73	-10.0000	-15.27	-10.0000
CBOE BZX Exchange (BATS)	1.61	0.00	3.78	0.24	11.21	0.00	0.0000	-684.83	-30.0000	-6.45	-30.0000	-27.42	-30.0000
NYSE Arca, Inc. (ARCX)	0.69	0.00	1.96	0.04	2.90	0.00	0.0000	-733.11	-30.0000	-6.54	-30.0000	-22.84	-29.9900
CBOE EDGX Exchange (EDGX)	0.42	0.00	1.30	0.01	0.96	0.00	0.0000	-449.81	-30.0000	-4.83	-30.0000	-11.08	-29.9900
Investors Exchange LLC. (IEXG)	0.41	0.00	1.21	0.02	1.12	0.00	0.0000	-152.18	-11.1100	-0.98	-8.2200	-3.87	-10.4800
CBOE BYX Exchange (BATY)	0.38	0.00	1.02	0.01	2.51	0.00	0.0000	9.14	1.6900	0.08	2.0000	0.31	2.0000

Material Aspects:

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

GSCO (GSCO):

Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

UBSA (UBSA):

There are no facts or arrangements requiring disclosure under SEC Rule 606(a)(1)(iv).

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees>

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

February 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.01	1.64	31.54	62.09	4.71

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc. (ARCX)	35.25	31.18	3.55	52.65	19.57	-124.86	-14.9900	-1,882.43	-29.2600	384.72	19.4700	-137.63	-11.7600
New York Stock Exchange LLC. (XNYS)	15.63	40.95	2.22	21.99	12.66	-19.35	-2.7500	-1,453.18	-24.4200	1,906.59	13.5700	-98.92	-5.8100
The Nasdaq Stock Market LLC. (XNAS)	14.55	20.59	11.29	15.50	21.80	-32.44	-5.4700	-4,220.42	-29.3300	3,437.45	29.3400	-325.19	-13.6600

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
GSCO (GSCO)	12.24	0.00	38.60	0.00	1.40	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX Exchange (BATS)	7.49	7.26	17.90	1.48	17.12	-12.72	-13.0000	-5,052.26	-29.6700	112.59	14.9800	95.31	2.9800
UBSA (UBSA)	6.90	0.00	15.78	1.66	18.89	0.00	0.0000	-474.89	-10.0000	-85.53	-9.9900	-33.62	-10.0000
NASDAQ OMX PSX (XPSX)	4.11	0.00	0.33	6.43	0.10	0.00	0.0000	-140.87	-29.9300	-2.84	-30.0000	-2.37	-30.0000
CBOE BYX Exchange (BATY)	1.47	0.00	4.11	0.02	3.57	0.00	0.0000	22.81	1.1800	0.25	1.7300	1.14	1.5000
CBOE EDGX Exchange (EDGX)	0.64	0.00	1.77	0.02	1.43	0.00	0.0000	-1,344.13	-29.8500	-14.07	-30.0000	-63.34	-30.0000
Investors Exchange LLC. (IEXG)	0.43	0.00	1.17	0.03	0.86	0.00	0.0000	-323.72	-14.3000	-2.97	-7.4100	-14.90	-13.4200

Material Aspects:

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

GSCO (GSCO):

Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

UBSA (UBSA):

There are no facts or arrangements requiring disclosure under SEC Rule 606(a)(1)(iv).

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website: <https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees>

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202602.xlsx

February 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
2.04	0.01	5.72	87.91	6.34

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Members Exchange (MXOP)	58.39	0.00	6.07	65.99	0.44	0.00	0.0000	-32,013.93	-12.4400	171,035.88	48.8500	2,054.29	25.2300
NYSE Arca, Inc. (ARCO)	19.80	10.96	2.76	22.11	3.23	452.65	5.7600	-25,324.38	-27.4400	163,531.85	37.4500	-50,329.78	-21.6500
CBOE Options Exchange (XCBO)	5.66	20.12	49.49	2.34	12.12	-1,189.07	-15.5200	-89,632.99	-38.2400	-18,689.07	-22.5700	-14,556.76	-19.4500
BOX Options Exchange (XBOX)	2.90	21.76	2.51	0.16	41.21	2,816.00	48.9100	1,738.79	1.5500	443.62	1.9000	41,518.77	46.9800
CBOE C2 Options Exchange, Inc. (C2OX)	2.80	0.00	0.77	3.13	0.02	0.00	0.0000	-71,587.81	-43.2600	37,466.42	32.1200	-345.83	-24.9600
MIAX PEARL LLC (MPRL)	2.54	0.00	1.22	2.81	0.01	0.00	0.0000	-34,288.94	-44.5000	9,998.20	12.8800	-504.39	-30.0500
CBOE EDGO	1.30	9.27	1.00	0.11	18.09	465.63	38.9600	2,936.81	4.4800	688.24	3.9300	11,415.29	36.8200

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Exchange (EDGO)													
CBOE BZX Options Exchange (BATO)	1.23	0.00	3.33	1.18	0.04	0.00	0.0000	-32,914.57	-45.0900	5,882.43	8.1900	576.47	20.9000
NASDAQ Options Market LLC (XNDQ)	0.91	0.00	8.01	0.52	0.01	0.00	0.0000	-91,789.75	-47.9800	-9,269.80	-19.7700	-1,017.09	-43.6500
Nasdaq ISE, LLC. (XISX)	0.80	10.39	1.86	0.17	8.61	931.15	15.4100	-37,863.78	-41.6500	401.22	1.1300	7,696.37	9.8100

Material Aspects:

Members Exchange (MXOP):

An investment entity affiliate of GS&Co. has a minority, non-controlling ownership interest in MXOP. We also participate in a MXOP program whereby the affiliate can earn warrants, which provide the right, but not the obligation, to purchase additional equity in MXOP contingent upon GS&Co. achieving certain monthly executed contract thresholds through the end of April 2026.

MXOP has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/>

Information reflecting the MXOP's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

NYSE Arca, Inc. (ARCO):

ARCO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Information reflecting the ARCO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

CBOE Options Exchange (XCBO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

XCBO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://markets.cboe.com/us/options/membership/fee_schedule/xcbo/

Information reflecting the XCBO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

BOX Options Exchange (XBOX):

XBOX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://boxoptions.com/regulatory/fee-schedule/>

Information reflecting the XBOX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

CBOE C2 Options Exchange, Inc. (C2OX):

C2OX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

Information reflecting the C2OX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

MIAX PEARL LLC (MPRL):

MPRL has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://www.miaxglobal.com/markets/us-options/pearl-options/fees>

Information reflecting the MPRL's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

CBOE EDGO Exchange (EDGO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

EDGO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/edgo/

Information reflecting the EDGO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

CBOE BZX Options Exchange (BATO):

BATO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/bzx/

Information reflecting the BATO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

NASDAQ Options Market LLC (XNDQ):

NDOE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-options-7>

Information reflecting the NDOE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

Nasdaq ISE, LLC. (XISX):

XISX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

Information reflecting the XISX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202602.xlsx

March 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.02	1.98	34.10	61.58	2.31

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
New York Stock Exchange LLC. (XNYS)	40.43	45.98	2.78	61.44	31.10	-21.17	-2.2500	-601.00	-20.2000	1,120.98	16.7800	-54.40	-10.4200
GSCO (GSCO)	24.29	0.00	71.05	0.00	2.45	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
The Nasdaq Stock Market LLC. (XNAS)	21.87	54.01	10.45	26.65	35.10	-24.74	-3.0400	-1,529.78	-26.8900	2,149.75	26.3900	-66.04	-16.9200
NASDAQ OMX PSX (XPSX)	7.12	0.00	0.34	11.35	0.73	0.00	0.0000	-84.01	-30.0000	-3.49	-29.9900	-2.53	-30.0000
CBOE BZX Exchange (BATS)	2.50	0.00	5.93	0.28	13.05	0.00	0.0000	-710.28	-29.9900	-4.24	-29.9900	-37.71	-29.9900

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc. (ARCX)	1.06	0.00	2.70	0.03	5.11	0.00	0.0000	-683.78	-30.0000	-10.23	-30.0000	-37.39	-30.0000
CBOE EDGX Exchange (EDGX)	0.57	0.00	1.48	0.02	2.22	0.00	0.0000	-442.43	-29.9900	-3.82	-29.9900	-17.32	-30.0000
CBOE BYX Exchange (BATY)	0.39	0.00	0.92	0.01	2.95	0.00	0.0000	6.39	1.8600	0.05	1.3800	0.74	1.9800
Investors Exchange LLC. (IEXG)	0.38	0.00	0.98	0.02	1.58	0.00	0.0000	-145.63	-12.7800	-0.90	-6.9900	-8.65	-11.3800
Members Exchange (MEMX)	0.35	0.00	0.88	0.01	2.08	0.00	0.0000	-254.55	-29.3900	-1.18	-29.8900	-12.65	-29.3500

Material Aspects:

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

GSCO (GSCO):
Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees>

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/>

Information reflecting MEMX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

March 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.01	1.71	35.61	59.51	3.15

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc. (ARCX)	34.46	41.03	6.20	51.10	35.79	-282.13	-14.9900	-3,328.96	-28.6800	676.09	21.3000	-482.72	-14.0600
The Nasdaq Stock Market LLC. (XNAS)	16.95	23.05	16.77	16.35	26.88	-26.63	-3.7100	-6,145.51	-25.7400	4,091.65	22.0000	-396.31	-21.1400
New York Stock Exchange LLC. (XNYS)	15.85	28.74	2.81	23.79	6.24	-46.64	-2.2500	-2,409.14	-20.1700	2,928.01	14.3500	52.04	3.9400
GSCO (GSCO)	11.75	0.00	32.88	0.00	1.24	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX Exchange (BATS)	10.06	5.82	23.94	1.47	17.79	-31.48	-13.0000	-6,299.36	-29.8900	333.33	17.8300	-307.34	-27.8200
NASDAQ OMX PSX (XPSX)	4.21	0.00	0.42	6.81	0.23	0.00	0.0000	-270.87	-29.9700	-2.44	-24.7600	-12.29	-29.9900
CBOE BYX Exchange	2.08	0.00	5.50	0.01	3.49	0.00	0.0000	41.62	1.5400	0.23	1.5000	1.83	1.5100

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
(BATY)													
CBOE EDGX Exchange (EDGX)	1.01	0.00	2.61	0.02	2.16	0.00	0.0000	-1,891.55	-29.9400	-9.96	-26.5900	-103.83	-30.0000
UBSA (UBSA)	0.78	0.00	1.83	0.17	0.69	0.00	0.0000	-245.63	-9.9900	-10.95	-9.9900	-16.85	-9.9900
Members Exchange (MEMX)	0.70	0.00	1.80	0.01	1.74	0.00	0.0000	-1,410.49	-29.6600	-7.03	-27.3800	-80.52	-29.9200

Material Aspects:

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

GSCO (GSCO):
 Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

UBSA (UBSA):

There are no facts or arrangements requiring disclosure under SEC Rule 606(a)(1)(iv).

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: <https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/>

Information reflecting MEMX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202603.xlsx

March 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
3.03	0.00	2.59	84.03	13.36

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Members Exchange (MXOP)	57.56	0.00	8.63	68.21	0.13	0.00	0.0000	-32,754.25	-12.6600	185,643.12	49.7900	3,467.79	38.2500
NYSE Arca, Inc . (ARCO)	19.22	12.30	2.99	21.31	9.24	-8,717.07	-22.3100	-28,223.92	-28.6500	205,607.97	38.3100	-14,418.36	-9.4900
BOX Options Exchange (XBOX)	4.07	20.17	4.26	0.14	28.71	10,659.90	48.8100	-536.03	-0.3800	978.13	4.1600	40,359.51	43.8500
CBOE Options Exchange (XCBO)	3.28	19.51	35.62	1.30	9.48	-507.93	-2.4800	-57,197.35	-30.6800	-13,096.59	-13.8700	-26,262.58	-25.7400
CBOE C2 Options Exchange, Inc. (C2OX)	2.83	0.00	0.95	3.34	0.00	0.00	0.0000	-63,989.48	-44.7900	43,274.89	29.5400	-92.80	-8.5600
MIAX PEARL LLC (MPRL)	2.56	0.00	1.51	3.00	0.00	0.00	0.0000	-41,728.95	-45.8700	13,753.47	14.3600	-396.40	-26.2300
Nasdaq PHLX, LLC (XPHO)	2.30	7.75	1.02	0.08	16.52	2,274.27	16.3300	-8,310.99	-20.5400	-129.89	-0.8000	10,921.37	14.9600
Nasdaq ISE, LLC. (XISX)	1.89	12.20	2.18	0.14	12.85	3,005.89	13.1900	-57,159.95	-42.4000	2,687.01	7.0500	9,602.38	11.9900
CBOE EDGO Exchange (EDGO)	1.53	9.02	1.20	0.08	10.71	2,620.76	37.6600	-438.08	-0.6700	372.78	1.9000	11,504.76	36.7400
MIAX Securities Exchange,	0.94	7.83	1.16	0.07	6.37	4,732.08	44.9700	-10,106.14	-22.7900	-846.06	-4.3700	16,865.46	35.7600

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
LLC (XMIO)													

Material Aspects:

Members Exchange (MXOP):

An investment entity affiliate of GS&Co. has a minority, non-controlling ownership interest in MXOP. We also participate in a MXOP program whereby the affiliate can earn warrants, which provide the right, but not the obligation, to purchase additional equity in MXOP contingent upon GS&Co. achieving certain monthly executed contract thresholds through the end of April 2026.

MXOP has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/>

Information reflecting the MXOP's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx

NYSE Arca, Inc. (ARCO):

ARCO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Information reflecting the ARCO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx

BOX Options Exchange (XBOX):

XBOX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://boxoptions.com/regulatory/fee-schedule/>

Information reflecting the XBOX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx

CBOE Options Exchange (XCBO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

XCBO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://markets.cboe.com/us/options/membership/fee_schedule/xcbo/

Information reflecting the XCBO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx

CBOE C2 Options Exchange, Inc. (C2OX):

C2OX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

Information reflecting the C2OX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx

MIAX PEARL LLC (MPRL):

MPRL has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://www.miaxglobal.com/markets/us-options/pearl-options/fees>

Information reflecting the MPRL's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx

Nasdaq PHLX, LLC (XPHO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

PHLX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting the PHLX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx

Nasdaq ISE, LLC. (XISX):

XISX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

Information reflecting the XISX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx

CBOE EDGO Exchange (EDGO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

EDGO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/edgo/

Information reflecting the EDGO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx

MIAX Securities Exchange, LLC (XMIO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

MIAX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://www.miaxglobal.com/markets/us-equities/pearl-equities/fees>

Information reflecting the MIAX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202603.xlsx