Goldman Sachs & Co. LLC - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.01	2.52	37.68	58.45	1.32

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
New York Stock Exchange LLC. (XNYS)	34.33	43.79	4.03	53.33	39.99	-25.86	-2.8500	-471.62	-24.1700	555.60	10.9900	-16.96	-5.4200
The Nasdaq Stock Market LLC. (XNAS)	25.68	56.20	11.29	33.19	45.70	-33.17	-2.4300	-1,290.68	-26.3600	3,964.79	31.6200	632.90	25.3700
GSCO (GSCO)	25.09	0.00	66.24	0.02	8.94	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	7.75	0.00	0.48	12.93	0.61	0.00	0.0000	-77.45	-30.0000	-1.24	-30.0000	-1.30	-29.9900
CBOE BZX Exchange (BATS)	2.38	0.00	6.19	0.07	0.50	0.00	0.0000	-602.12	-29.9900	-6.69	-30.0000	-3.27	-30.0000
NYSE Arca, Inc. (ARCX)	1.37	0.00	3.45	0.10	0.68	0.00	0.0000	-581.76	-30.0000	-7.72	-30.0000	-2.43	-30.0000
CBOE EDGX Exchange (EDGX)	0.72	0.00	1.78	0.06	1.05	0.00	0.0000	-469.32	-29.9900	-5.06	-30.0000	-2.86	-30.0000
Investors Exchange LLC. (IEXG)	0.61	0.00	1.52	0.05	0.53	0.00	0.0000	-162.06	-12.3400	-1.60	-9.5500	-0.45	-5.9200
CBOE BYX Exchange (BATY)	0.44	0.00	1.11	0.03	0.31	0.00	0.0000	8.47	1.7500	0.18	1.8000	0.06	2.0000
Members Exchange (MEMX)	0.38	0.00	0.96	0.03	0.28	0.00	0.0000	-256.72	-30.0000	-1.83	-30.0000	-0.88	-30.0000

Material Aspects:

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

GSCO (GSCO):
When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/

Information refecting MEMX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

July 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.01	1.95	35.16	60.08	2.78

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market LLC. (XNAS)	24.28	42.74	13.93	28.93	41.59	-32.16	-2.9400	-4,674.45	-22.2200	6,466.48	30.6600	-232.70	-8.1900
NYSE Arca, Inc. (ARCX)	24.13	23.43	5.49	35.31	18.97	-293.60	-14.9900	-2,162.26	-29.7100	86.81	16.3400	-148.95	-12.9900
GSCO (GSCO)	15.65	0.00	44.27	0.02	2.52	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
New York Stock Exchange LLC. (XNYS)	15.64	30.86	2.58	21.95	33.78	-27.67	-2.8500	-1,502.71	-28.7400	1,558.30	11.6800	-92.81	-3.5400
CBOE BZX Exchange (BATS)	7.83	2.41	19.14	1.65	2.11	-64.32	-13.0000	-3,817.75	-29.5000	830.25	19.1500	-10.21	-17.2500
NASDAQ OMX PSX (XPSX)	7.08	0.00	0.36	11.56	0.05	0.00	0.0000	-129.32	-29.9000	-1.20	-29.9900	-1.79	-29.9900
CBOE BYX Exchange (BATY)	1.61	0.00	4.53	0.02	0.15	0.00	0.0000	28.03	1.4500	0.37	1.5500	0.22	1.7900
CBOE EDGX Exchange (EDGX)	0.89	0.00	2.46	0.03	0.07	0.00	0.0000	-1,271.43	-29.9800	-12.87	-30.0000	-4.59	-29.9900
CBOE EDGA Exchange (EDGA)	0.59	0.00	1.63	0.02	0.05	0.00	0.0000	-569.56	-29.9200	-2.90	-30.0000	-1.96	-30.0000
Members Exchange (MEMX)	0.57	0.00	1.57	0.02	0.07	0.00	0.0000	-848.74	-29.9200	-9.13	-29.9900	-4.04	-30.0000

Material Aspects:

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

GSCO (GSCO):
When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

CBOE EDGA Exchange (EDGA):

EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edga/

Information reflecting the EDGA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/

Information refecting MEMX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202607.xlsx

July 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.81	0.01	2.24	87.74	9.99

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc . (ARCO)	63.41	11.26	2.17	71.87	2.93	-23.18	-0.3300	-17,043.28	-32.4400	222,618.05	37.0100	5,625.99	5.3000
Members Exchange (MXOP)	16.15	0.00	2.98	18.28	0.41	0.00	0.0000	9,446.54	6.6000	136,385.86	55.2000	5,901.33	38.7000
CBOE Options Exchange (XCBO)	4.96	16.98	71.06	2.03	15.78	-769.52	-10.2500	-88,794.17	-49.1600	-17,990.69	-15.7200	-26,085.77	-19.7200
BOX Options Exchange (XBOX)	3.24	22.75	1.62	0.24	29.92	2,903.20	45.6900	-2,870.69	-4.0000	2,494.77	5.6300	42,788.40	46.6800
CBOE C2 Options Exchange, Inc. (C2OX)	2.12	0.00	0.64	2.39	0.01	0.00	0.0000	-24,427.51	-47.5200	29,173.33	25.5900	-47.57	-3.4400
MIAX PEARL LLC (MPRL)	1.93	0.00	0.69	2.19	0.00	0.00	0.0000	-18,636.22	-50.9100	8,589.44	10.3900	-566.32	-24.3200
Nasdaq PHLX, LLC (XPHO)	1.89	7.99	0.82	0.12	17.61	743.83	15.5900	-4,071.64	-18.6400	-110.25	-0.6300	13,027.81	18.6100
Nasdaq ISE, LLC. (XISX)	1.46	11.23	1.57	0.13	13.04	823.86	13.4200	-20,807.12	-45.7500	-2,180.72	-5.6400	11,007.66	16.3300
CBOE EDGO Exchange (EDGO)	1.36	8.46	0.47	0.14	12.25	868.24	39.4400	-2,378.36	-7.1000	3,037.87	11.8600	14,610.15	38.7900
CBOE BZX Options Exchange (BATO)	0.81	0.00	2.32	0.86	0.03	0.00	0.0000	-19,252.43	-46.8600	2,160.89	3.4400	2,018.79	50.7100

Material Aspects:

NYSE Arca, Inc . (ARCO):

ARCO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Information reflecting the ARCO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

Members Exchange (MXOP):

An investment entity affiliate of GS&Co. has a minority, non-controlling ownership interest in MXOP. MXOP has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/

Information reflecting the MXOP's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

CBOE Options Exchange (XCBO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

XCBO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://markets.cboe.com/us/options/membership/fee_schedule/xcbo/

Information reflecting the XCBO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

BOX Options Exchange (XBOX):

XBOX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://boxoptions.com/regulatory/fee-schedule/

Information reflecting the XBOX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

CBOE C2 Options Exchange, Inc. (C2OX):

C2OX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

Information reflecting the C2OX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

MIAX PEARL LLC (MPRL):

MPRL has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.miaxglobal.com/markets/us-options/pearl-options/fees

Information reflecting the MPRL's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

Nasdaq PHLX, LLC (XPHO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

PHLX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7

Information reflecting the PHLX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

Nasdaq ISE, LLC. (XISX):

XISX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207

Information reflecting the XISX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

CBOE EDGO Exchange (EDGO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

EDGO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/edgo/

Information reflecting the EDGO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

CBOE BZX Options Exchange (BATO):

BATO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/bzx/

Information reflecting the BATO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202607.xlsx

August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.02	3.99	30.77	63.74	1.48

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
New York Stock Exchange LLC. (XNYS)	39.53	57.81	4.10	55.87	23.06	-17.68	-2.8400	-417.09	-22.7100	405.37	11.1200	-32.70	-5.9800
The Nasdaq Stock Market LLC. (XNAS)	30.00	41.98	11.64	37.44	59.14	-35.27	-2.6800	-1,132.61	-23.2400	5,351.86	31.0200	-365.23	-6.6800
GSCO (GSCO)	20.30	0.00	65.42	0.01	11.16	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	4.20	0.00	0.47	6.34	0.90	0.00	0.0000	-79.82	-30.0000	-1.33	-29.9900	-6.25	-30.0000
CBOE BZX Exchange (BATS)	2.10	0.19	6.68	0.04	1.06	-0.01	-13.0000	-585.38	-30.0000	-7.37	-30.0000	-15.43	-29.9900
NYSE Arca, Inc. (ARCX)	1.08	0.00	3.40	0.04	0.65	0.00	0.0000	-567.90	-29.9900	-7.48	-30.0000	-26.61	-30.0000
CBOE EDGX Exchange (EDGX)	0.59	0.00	1.79	0.04	0.57	0.00	0.0000	-365.13	-30.0000	-5.14	-29.9900	-13.61	-29.9900
Investors Exchange LLC. (IEXG)	0.50	0.00	1.54	0.03	0.56	0.00	0.0000	-127.80	-12.2800	-1.09	-6.4500	-2.09	-7.5900
CBOE BYX Exchange (BATY)	0.36	0.00	1.11	0.01	0.40	0.00	0.0000	7.05	1.7700	0.14	1.8200	0.41	1.5100
CBOE EDGA Exchange (EDGA)	0.28	0.00	0.85	0.02	0.31	0.00	0.0000	-109.84	-30.0000	-2.18	-30.0000	-5.93	-30.0000

Material Aspects:

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

GSCO (GSCO):
When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

CBOE EDGA Exchange (EDGA):

EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edga/

Information reflecting the EDGA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

August 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.01	2.49	38.11	57.14	2.24

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market LLC. (XNAS)	29.55	45.92	14.33	38.25	48.59	-56.51	-2.7600	-4,792.66	-22.5800	11,902.42	29.6300	-65.99	-3.8700
NYSE Arca, Inc. (ARCX)	18.71	27.40	6.09	26.50	25.17	-244.03	-14.9900	-2,889.78	-28.1200	168.68	14.7800	-287.70	-13.5400
New York Stock Exchange LLC. (XNYS)	17.43	24.44	2.86	26.88	16.35	-20.89	-2.8400	-1,875.09	-24.4700	1,369.01	10.8700	-44.78	-3.8800
GSCO (GSCO)	15.74	0.00	41.03	0.00	4.48	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX Exchange (BATS)	8.24	1.97	19.40	1.24	3.73	-8.30	-13.0000	-3,887.27	-29.5500	303.08	17.8500	-16.68	-15.5600
NASDAQ OMX PSX (XPSX)	3.78	0.00	0.45	6.32	0.07	0.00	0.0000	-202.35	-28.8600	-5.61	-30.0000	-1.68	-30.0000
CBOE BYX Exchange (BATY)	2.15	0.00	5.57	0.04	0.38	0.00	0.0000	16.74	0.6400	0.16	0.5200	0.11	0.9700
CBOE EDGX Exchange (EDGX)	1.07	0.00	2.70	0.06	0.30	0.00	0.0000	-1,814.49	-29.3300	-24.07	-29.6500	-8.14	-30.0000
Investors Exchange LLC. (IEXG)	0.69	0.00	1.71	0.06	0.14	0.00	0.0000	-616.11	-15.6000	-3.92	-7.0800	-1.15	-6.2900
CBOE EDGA Exchange (EDGA)	0.63	0.00	1.60	0.04	0.05	0.00	0.0000	-637.57	-26.9200	-9.54	-27.8300	-3.81	-30.0000

Material Aspects:

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

GSCO (GSCO):
When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

CBOE EDGA Exchange (EDGA):

EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edga/

Information reflecting the EDGA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202608.xlsx

August 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.81	0.01	1.00	87.57	11.40

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc . (ARCO)	63.14	10.56	10.24	71.65	2.49	-529.00	-4.1100	-20,298.09	-37.2600	177,611.09	32.0400	-9,585.66	-7.4500
Members Exchange	19.16	0.00	10.33	21.69	0.49	0.00	0.0000	13,458.80	10.3500	135,779.22	53.3300	6,090.34	35.5400

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
(MXOP)													
BOX Options Exchange (XBOX)	5.87	22.44	4.73	0.22	49.28	3,808.79	55.7500	-1,351.86	-2.2200	-1,135.90	-3.6800	35,810.80	48.5700
CBOE EDGO Exchange (EDGO)	2.20	9.29	2.02	0.24	17.22	621.06	22.2600	980.57	3.0000	10,062.43	23.3000	13,931.40	40.7200
CBOE Options Exchange (XCBO)	2.19	19.24	16.12	1.42	6.90	-2,999.43	-21.1200	-29,095.47	-32.0600	-27,992.43	-23.1700	-15,345.66	-13.7500
Nasdaq ISE, LLC. (XISX)	1.64	12.21	3.36	0.07	13.56	736.42	6.4300	-18,272.89	-44.6800	32.39	0.1100	7,003.54	12.2700
CBOE C2 Options Exchange, Inc. (C2OX)	1.30	0.00	1.75	1.46	0.01	0.00	0.0000	-28,652.53	-48.3800	9,224.03	10.7700	-560.31	-19.4500
MIAX PEARL LLC (MPRL)	1.22	0.00	1.97	1.37	0.01	0.00	0.0000	-17,426.36	-50.5500	-6,032.05	-10.9200	-782.35	-32.0600
Nasdaq PHLX, LLC (XPHO)	0.72	8.44	1.24	0.09	5.51	178.28	2.2200	-3,552.62	-19.6200	1,339.07	7.1400	12,588.01	19.1000
NASDAQ Options Market LLC (XNDQ)	0.47	0.00	10.22	0.41	0.01	0.00	0.0000	-55,443.02	-51.8200	-45,968.25	-41.5200	-3,686.99	-52.2200

Material Aspects:

NYSE Arca, Inc . (ARCO):

ARCO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Information reflecting the ARCO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

Members Exchange (MXOP):

An investment entity affiliate of GS&Co. has a minority, non-controlling ownership interest in MXOP. MXOP has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/

Information reflecting the MXOP's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

BOX Options Exchange (XBOX):

XBOX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://boxoptions.com/regulatory/fee-schedule/

Information reflecting the XBOX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

CBOE EDGO Exchange (EDGO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

EDGO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/edgo/

Information reflecting the EDGO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

CBOE Options Exchange (XCBO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

XCBO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/xcbo/

Information reflecting the XCBO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

Nasdaq ISE, LLC. (XISX):

XISX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207

Information reflecting the XISX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

CBOE C2 Options Exchange, Inc. (C2OX):

C2OX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

Information reflecting the C2OX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

MIAX PEARL LLC (MPRL):

MPRL has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.miaxglobal.com/markets/us-options/pearl-options/fees

Information reflecting the MPRL's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

Nasdaq PHLX, LLC (XPHO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

PHLX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7

Information reflecting the PHLX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

NASDAQ Options Market LLC (XNDQ):

NDOE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-options-7

Information reflecting the NDOE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202608.xlsx

September 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.02	3.06	28.94	67.17	0.82

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq	38.85	54.79	11.99	49.63	44.66	-24.29	-1.9100	-544.77	-20.4200	2,601.14	30.2500	1,173.60	23.7500

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Stock Market LLC. (XNAS)													
New York Stock Exchange LLC. (XNYS)	36.36	45.20	4.35	49.68	41.23	-18.78	-2.3500	-206.51	-20.8800	256.42	11.1000	-18.94	-2.1000
GSCO (GSCO)	18.69	0.00	63.72	0.34	2.23	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX Exchange (BATS)	2.10	0.00	7.07	0.06	1.40	0.00	0.0000	-281.23	-29.9900	-6.67	-30.0000	-6.81	-29.9900
NYSE Arca, Inc. (ARCX)	1.15	0.00	3.85	0.03	1.46	0.00	0.0000	-285.25	-30.0000	-6.97	-30.0000	-6.44	-29.9900
CBOE EDGX Exchange (EDGX)	0.59	0.00	1.93	0.03	1.32	0.00	0.0000	-183.52	-30.0000	-4.17	-30.0000	-6.93	-30.0000
Investors Exchange LLC. (IEXG)	0.45	0.00	1.48	0.02	1.06	0.00	0.0000	-69.43	-12.5900	-0.87	-8.7800	-1.08	-8.7000
CBOE BYX Exchange (BATY)	0.37	0.00	1.23	0.02	0.85	0.00	0.0000	3.54	1.6800	0.20	2.0000	0.15	2.0000
Members Exchange (MEMX)	0.31	0.00	1.02	0.02	0.75	0.00	0.0000	-95.95	-30.0000	-1.78	-30.0000	-2.01	-30.0000
UBSA (UBSA)	0.28	0.00	0.85	0.03	1.26	0.00	0.0000	-56.62	-10.0000	-2.52	-10.0000	-1.95	-10.0000

Material Aspects:

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

GSCO (GSCO):
When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/

Information refecting MEMX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

UBSA (UBSA):
There are no facts or arrangements requiring disclosure under SEC Rule 606(a)(1)(iv).

September 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.01	2.44	40.81	54.45	2.27

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market LLC. (XNAS)	32.15	39.34	12.32	45.98	48.97	-31.88	-1.9700	-2,880.54	-22.4600	4,276.56	26.0500	-82.45	-7.1200
NYSE Arca, Inc. (ARCX)	17.81	29.50	6.05	25.61	29.37	-102.78	-15.0000	-1,286.83	-28.5300	136.19	18.1600	-133.37	-12.3100
GSCO (GSCO)	17.14	0.00	41.26	0.16	9.54	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
New York Stock Exchange	16.35	28.14	2.81	26.37	6.79	-13.68	-2.3500	-763.12	-24.3300	985.12	11.3100	-8.54	-2.4100

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
LLC. (XNYS)													
CBOE BZX Exchange (BATS)	9.57	1.91	21.41	1.33	2.62	-4.14	-13.0000	-2,319.95	-29.3500	43.51	10.3600	-20.43	-17.4500
CBOE BYX Exchange (BATY)	2.67	0.00	6.48	0.03	0.50	0.00	0.0000	29.45	1.3400	0.33	1.5000	0.10	2.0000
CBOE EDGX Exchange (EDGX)	1.12	0.00	2.67	0.05	0.33	0.00	0.0000	-996.18	-29.5600	-15.13	-29.9500	-6.39	-30.0000
CBOE EDGA Exchange (EDGA)	0.70	0.00	1.66	0.02	0.17	0.00	0.0000	-358.88	-28.1100	-6.57	-29.2700	-1.50	-30.0000
Investors Exchange LLC. (IEXG)	0.62	0.00	1.42	0.06	0.36	0.00	0.0000	-369.61	-17.3400	-15.48	-14.5700	-0.38	-6.9000
Members Exchange (MEMX)	0.49	0.00	1.14	0.02	0.43	0.00	0.0000	-564.98	-29.1700	-9.18	-29.8600	-6.73	-29.9900

Material Aspects:

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

GSCO (GSCO):
When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

CBOE EDGA Exchange (EDGA):

EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edga/

Information reflecting the EDGA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/

Information refecting MEMX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202609.xlsx

September 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.57	0.01	1.30	68.47	30.21

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc . (ARCO)	52.11	11.75	8.17	75.37	1.31	-364.42	-6.1400	-42,163.30	-42.7900	183,700.20	31.7100	-20,828.93	-13.3700
CBOE Options Exchange (XCBO)	20.63	14.00	13.44	1.93	63.30	-939.04	-17.0400	-45,997.80	-35.0500	-25,378.23	-21.9200	-11,954.37	-11.9600
Members Exchange (MXOP)	11.62	0.00	7.20	16.81	0.04	0.00	0.0000	-11,888.39	-17.6600	64,476.38	57.3100	2,493.59	64.2100
BOX Options Exchange (XBOX)	4.88	25.07	2.84	0.17	15.65	1,857.96	42.1900	-5,179.26	-6.9700	-3,930.71	-10.8100	32,274.60	46.9400
CBOE EDGO	2.18	9.40	2.03	0.21	6.63	354.88	30.2200	-7,673.91	-15.2400	13,458.28	24.9000	11,437.16	38.3100

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Exchange (EDGO)													
Nasdaq ISE, LLC. (XISX)	1.93	12.98	3.63	0.07	6.05	609.53	7.9600	-38,789.97	-47.5400	-2,860.17	-7.0600	9,026.60	10.2500
Nasdaq PHLX, LLC (XPHO)	1.48	8.58	1.36	0.06	4.70	-12.38	-0.2200	-7,807.43	-31.8300	-575.47	-2.9100	12,053.64	17.4800
CBOE C2 Options Exchange, Inc. (C2OX)	1.12	0.00	1.67	1.61	0.00	0.00	0.0000	-53,379.48	-48.7000	9,625.85	9.0400	-1,478.21	-43.3200
MIAX PEARL LLC (MPRL)	1.06	0.00	2.74	1.50	0.00	0.00	0.0000	-28,577.40	-51.4200	-9,802.17	-17.1900	-377.50	-36.0900
NASDAQ Options Market LLC (XNDQ)	0.68	0.00	13.81	0.73	0.00	0.00	0.0000	-152,365.32	-49.5700	-55,147.94	-40.9300	-3,838.62	-57.5500

Material Aspects:

NYSE Arca, Inc . (ARCO):

ARCO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Information reflecting the ARCO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx

CBOE Options Exchange (XCBO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

XCBO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/xcbo/

Information reflecting the XCBO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx

Members Exchange (MXOP):

An investment entity affiliate of GS&Co. has a minority, non-controlling ownership interest in MXOP. MXOP has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/

Information reflecting the MXOP's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx

BOX Options Exchange (XBOX):

XBOX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://boxoptions.com/regulatory/fee-schedule/

Information reflecting the XBOX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx

CBOE EDGO Exchange (EDGO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

EDGO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/edgo/

Information reflecting the EDGO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx

Nasdaq ISE, LLC. (XISX):

XISX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207

Information reflecting the XISX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx

Nasdaq PHLX, LLC (XPHO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

PHLX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7

Information reflecting the PHLX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx

CBOE C2 Options Exchange, Inc. (C2OX):

C2OX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

Information reflecting the C2OX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx

MIAX PEARL LLC (MPRL):

MPRL has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.miaxglobal.com/markets/us-options/pearl-options/fees

Information reflecting the MPRL's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx

NASDAQ Options Market LLC (XNDQ):

NDOE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-options-7

Information reflecting the NDOE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202609.xlsx