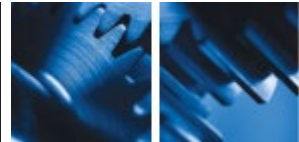




**GOLDMAN SACHS GLOBAL BANKING & MARKETS**  
**Derivative Clearing Services**



**Goldman Sachs Bank Europe SE and Goldman Sachs International**

**Request for Proposal Form**

<b>Investment Advisor Legal Name*</b>	
<b>Domicile*</b>	
<b>LEI*</b>	
<b>Beneficial Owner(s) Legal Name*</b>	
<b>Domicile*</b>	
<b>LEI*</b>	
<b>Assets under management (if applicable)</b>	
<b>Legal form of Beneficial Owner(s)</b>	<input type="checkbox"/> Company <input type="checkbox"/> Partnership <input type="checkbox"/> Trust <input type="checkbox"/> Other
<b>If "Other", please specify</b>	
<b>Account Segregation Preference<sup>1</sup></b>	<input type="checkbox"/> Individual Client Account <input type="checkbox"/> Omnibus Client Account
<b>Please select your EMIR classification:<sup>2</sup></b>	<input type="checkbox"/> Financial Counterparty <input type="checkbox"/> Non-Financial Counterparty
<b>Are you subject to the clearing obligation under either Article 4a or Article 10 of EMIR?</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Investment Manager (IA) (Sector of activity of IA, if applicable)</b>	<input type="checkbox"/> Asset Manager <input type="checkbox"/> Insurance Manager <input type="checkbox"/> Pension Fund Manager <input type="checkbox"/> Hedge Fund Manager <input type="checkbox"/> If Other, please specify.....
<b>Beneficial Owner (BO) / Fund Type (Sector of activity of BO if managed by above IA, applicable)</b>	<input type="checkbox"/> Pension Fund <input type="checkbox"/> Insurance Fund <input type="checkbox"/> Mutual Fund (UCITS) <input type="checkbox"/> If Other, please specify.....
<b>Direct Beneficial Owner</b>	<input type="checkbox"/> Commodity House <input type="checkbox"/> Insurer <input type="checkbox"/> Corporate <input type="checkbox"/> Bank <input type="checkbox"/> Broker Dealer <input type="checkbox"/> If Other, please specify.....
<b>Please provide your current credit rating(s) from S&amp;P, Fitch and Moody's (if applicable)</b>	
<b>Required Documentation (to be provided as applicable)</b>	<ul style="list-style-type: none"> <li>• Prospectus / Private Placement Memorandum / Offering Circular or other similar document</li> <li>• Investment Management Agreement</li> <li>• Certified Certificate of Incorporation</li> </ul>

<sup>1</sup> For details on the account options please see our Clearing Member disclosure available at: <https://www.goldmansachs.com/disclosures/mifid/docs/gsi-clearing-member-disclosure-doc-dir-and-indir.pdf> and <https://www.goldmansachs.com/disclosures/mifid/docs/gsbe-clearing-member-risk-disclosure.pdf>.

<sup>2</sup> To the extent you have already provided this information to us in any prior onboarding or interaction with us, you do not need to complete this section. Information on the classifications under EMIR and the clearing obligation are available at: <https://cdn.marquee.gs.com/documents/disclosures/f82860cb-a2c9-4112-a258-abef4641318c.pdf>.

	<ul style="list-style-type: none"> <li>• Articles of Association</li> <li>• Trust Deed</li> <li>• Partnership Agreement</li> <li>• Latest Financial Statement</li> <li>• Organogram or brief document outlining corporate structure (including information on the Beneficial Owner(s) holding company)</li> </ul>
<b>Type of OTC Derivative Contracts to be cleared with GS<sup>3</sup></b>	<input type="checkbox"/> Credit Indices <input type="checkbox"/> Credit Single Names <input type="checkbox"/> FX NDF <input type="checkbox"/> IRS (Developed markets) <input type="checkbox"/> IRS (LATAM) <input type="checkbox"/> IRS (Asia)
<b>Average yearly tickets per product</b>	Credit Indices: ..... Credit Single Names: ..... FX NDF: ..... IRS (Developed markets): ..... IRS (LATAM): ..... IRS (Asia): .....
<b>Average notional size per allocation</b>	Credit Indices: ..... Credit Single Names: ..... FX NDF: ..... IRS (Developed markets): ..... IRS (LATAM): ..... IRS (Asia): .....
<b>Sample Portfolio</b>	Please upload here
<b>Preferred collateral</b>	<input type="checkbox"/> Cash <input type="checkbox"/> Securities
<b>Would you require access to the GS client clearing platform?<sup>4</sup></b>	<input type="checkbox"/> Yes <input type="checkbox"/> No

\*Option to include additional entities

<sup>3</sup> Please refer to the link below for the list of products subject to clearing obligation:

<https://www.esma.europa.eu/regulation/post-trading/otc-derivatives-and-clearing-obligation>

<sup>4</sup>Our interactive online client clearing platform, GS360, enables clients to access our customisable and flexible reporting tools to view and monitor their activity.